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September 22, 2003

# UTILITY PATENT APPLICATION TRANSMITTAL

22389 U.S. PTO
10/665557
09/22/03

Attorney Docket Number: 074913.0104
First Named Inventor: Jun Takeda et al.
Title: Optical Connector

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form

2. ☒ Specification - Total Pages: **12** (Including Abstract)

| | Claims Filed | Basic Fee Claims | Extra | Large Entity | Small Entity | Amount |
|---|---|---|---|---|---|---|
| CLAIMS AS FILED | | | | Rate | | |
| | | | 0 | $ 18.00 | $ 9.00 | $0.00 |
| Total Claims | 7 | 20 | 0 | $ 84.00 | $ 42.00 | $0.00 |
| Independent Claims | 1 | 3 | | $ 280.00 | $ 140.00 | $0.00 |
| First Presentation of Multiple Dependent Claims | | | | $ 750.00 | $ 375.00 | $ 750.00 |
| BASIC FEE | | | | | | $ 750.00 |
| TOTAL FILING FEE | | | | | | |

3. ☒ Drawings - Total Sheets: **9** (Fig(s). **1-14**

4. Oath or Declaration - Total Pages: **2**

    a. ☒ Newly executed (original or copy)
      ☐ New (unexecuted)

    b. ☐ Copy from a prior application
      (for continuation/divisional with Box 17 completed)

      i. ☐ DELETION OF INVENTOR(s):
        Signed statement attached deleting inventor(s) named in prior application.

5. ☐ Incorporation By Reference (useable if Box 4b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

DC01:369036.1

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

      a. ☐ Computer Readable Copy
      b. ☐ Paper Copy (identical to computer copy)
      c. ☐ Statement verifying identity of above copies

8. ☐ Assignment and Assignment Recordation Form

9. ☐ 37 C.F.R. 3.73(b) Statement    ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure Statement with PTO-1449 and References

    ☐ Copies of Information Disclosure Statement Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity Statement(s)    ☐ Independent Inventor
                                   ☐ Small Business Concern
                                   ☐ Non-Profit Organization

    ☐ Statement Filed in Prior Application; Status Still Proper and Desired

15. ☒ Foreign Priority is Claimed as Follows:
    **Japanese Patent Application No. 2002-292412 filed on October 4, 2002**

    ☒ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith

16. ☒ Other: **Application Data Sheet**

17. ☐ Continuation    ☐ Divisional    ☐ Continuation-in-Part of Prior Application No.:_____ filed _____

    ☐ Complete Application Based on Provisional Application No.: _____
    filed _____

18. ☒ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

    ☒ The power of attorney is to: Jerry W. Mills, reg. No. 23, 005; Scott F. Partridge, Reg. No. 28,142; James B. Arpin, Reg. No. 33,4470; and Timothy J. Churna, Reg. No. 48,340.

    ☐ Please remove as power of attorney:

    ☐  Please add as power of attorney:

19. Please address all correspondence to:  **24735**

20. ☒ A check in the amount of $ **750.00** is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 02-0375.
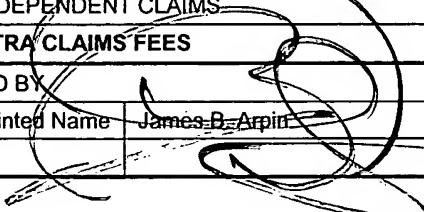
    ☐  The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 02-0375.**

              Respectfully submitted,

          By:
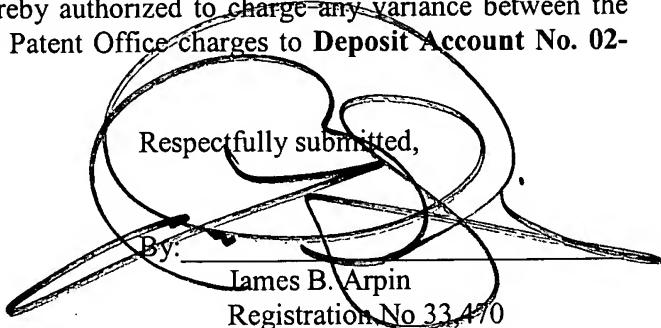
            James B. Arpin
            Registration No 33,470

JBA/dh

Enclosures

# FEE TRANSMITTAL

## [MAIL STOP PATENT APPLICATION]

| *Complete If Known* | |
|---|---|
| Application No. | To be assigned |
| Filing Date | September 22, 2003 |
| First Named Inventor | Jun Takeda et al. |
| Examiner Name | To be assigned |
| Group Art Unit | To be assigned |

| Total Amount Of Payment | ($) 750.00 | Attorney Docket No. | 074913.0104 |
|---|---|---|---|

| **METHOD OF PAYMENT (check one)** | **FEE CALCULATION (continued)** |
|---|---|

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to **Deposit Account No. 02-0375** in the name of Baker Botts L.L.P.

   ☐ Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to **Deposit Account No. 02-0375**.

2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 02-0375** in the name of Baker Botts L.L.P, The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.

### FEE CALCULATION

1. **BASIC FILING FEE** ☒ Large Entity ☐ Small Entity

   **Fee Paid**

   | | |
   |---|---|
   | Utility Filing Fee | $ |
   | Design Filing Fee | $ |
   | Plant Filing Fee | $ |
   | Reissue Filing Fee | $ |
   | Provisional Filing Fee | $ |

2. **EXTRA CLAIMS FEES**

### FEE CALCULATION (continued)

3. **ADDITIONAL FEES**

| Fee Description | | Fee Paid |
|---|---|---|
| ☐ | Surcharge - late filing fee or oath | $ |
| ☐ | Surcharge - late provisional filing fee or cover sheet | $ |
| ☐ | Extension for reply with 1 month | $ |
| ☐ | Notice of Appeal | $ |
| ☐ | Filing Brief in Support of Appeal | $ |
| ☐ | Request for Oral Hearing | $ |
| ☐ | Utility Issue Fee (or reissue) | $ |
| ☐ | Design Issue Fee | $ |
| ☐ | Plant Issue Fee | $ |
| ☐ | Petitions to Commissioner | $ |
| ☐ | Petition to Revive (unavoidable) | $ |
| ☐ | Petition to Revive (unintentional) | $ |
| ☐ | Petitions Related to Provisional Applications | $ |
| ☐ | Submission of Information Disclosure Statement | $ |
| ☐ | Filing Submission After Final Rejection | $ |
| ☐ | Recordation of Assignment Document | $ |
| ☐ | Filing Request for Reexamination | $ |
| ☐ | Other (specify) Advanced Patent Copies | $ |

### CLAIMS AS AMENDED

| For | Number Present | Highest Number Paid For | Extra | Rate Large Entity | Rate Small Entity | Amount |
|---|---|---|---|---|---|---|
| TOTAL CLAIMS | 7 | 20 | 0 | x $ 18.00 | x $ 9.00 | $0.00 |
| INDEPENDENT CLAIMS | 1 | 3 | 0 | x $ 84.00 | x $ 42.00 | $0.00 |
| MULTIPLE DEPENDENT CLAIMS | | | | $ 280.00 | $ 140.00 | $0.00 |
| TOTAL EXTRA CLAIMS FEES | | | | | | $0.00 |

| SUBMITTED BY | | Complete (if applicable) | |
|---|---|---|---|
| Typed or Printed Name | James B. Arpin | Registration No. | 33,470 |
| Signature | Date 09/22/03 | Deposit Account User ID | 02-0375 |

DC01:369032.1